



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/750,624	12/26/2000	Karl E. Uth	860-20-008	6528

7590 01/31/2002

Marvin E. Jacobs
KOPPEL & JACOBS
Suite 215
2151 Alessandro Drive
Ventura, CA 93001

EXAMINER

PEAVEY, ENOCH E

ART UNIT

PAPER NUMBER

3626

DATE MAILED: 01/31/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

37

Office Action Summary	Application No.	Applicant(s)
	09/750,624	UTH, KARL E.
	Examiner Enoch E Peavey	Art Unit 3626

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 26 December 2000.
- 2a) This action is **FINAL**. 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) Claim(s) _____ is/are allowed.
- 6) Claim(s) 1-9 is/are rejected.
- 7) Claim(s) _____ is/are objected to.
- 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) The proposed drawing correction filed on _____ is: a) approved b) disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) All b) Some * c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).* See the attached detailed Office action for a list of the certified copies not received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) The translation of the foreign language provisional application has been received.
- 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____	6) <input type="checkbox"/> Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

(e) the invention was described in-
(1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or
(2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).

2. Claims 1-3 and 7-9 are rejected under 35 U.S.C. 102(b) as being anticipated by Wu et al. US No. 6,155,578. Wu discloses a rotary barrier seal comprising a stationary ring unit (14) coaxially surrounding the rotatable shaft (12) within the housing and arranged for movement axially of the rotatable shaft (12) under a resilient pressure. The rotatory ring unit (16) mounted on and coaxially surrounding the shaft (12) and is prevented from relative axial movement with respect to the shaft (12). Each of the ring units has an end face. One of the end faces comprises a plurality of helical grooves (180) extending inward the innermost extent of the plurality of helical grooves defining an inner groove diameter (FIG. 7). The inner groove diameter is larger than the diameter of the innermost extent (FIG. 7, 162) of either of the end faces. One of the ring units have at least one supply bore (228) having a supply opening communicating with the seal interface.

The supply opening is positioned at a fluid supply diameter concentric with the rotatable shaft (12). The fluid supply diameter (see 234 positioned outside the outer most extent of 180) is larger than the groove diameter (outer most extent of 180). There is a buffer fluid supply means communicating with the supply bore (228) to supply fluid to the interface.

The outermost extent includes a plurality of helical grooves (180). The outermost extent of the plurality of helical grooves defines an outer groove diameter. The outer groove diameter is smaller than the diameter of the outermost extent of either end face (defined at 172). The end face comprises a circumferential groove (234) communicating with the supply bore (228).

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 4-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wu in view of Fuse, US No. 6,135,458. Wu does not disclose the faces comprising at least one crescent shaped pocket communicating with the supply bore. Fuse discloses the crescent shape pockets in order to produce static pressure fluid film between the faces with minimum groove depth (Col. 1, lines 30-35). It would have been obvious to one of

Art Unit: 3626

ordinary skill in the art at the time of applicant's invention to modify the end face of Wu to include the crescent shaped pockets of Fuse in order to produce a static pressure fluid film between the faces with minimum groove depth.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Enoch E Peavey whose telephone number is 305 1977. The examiner can normally be reached on Mon-Fri 8:00 am to 4:30 am.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Knight can be reached on (703) 308- 3179. The fax phone numbers for the organization where this application or proceeding is assigned are 305 3597 for regular communications and 305 3597 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308 1113.



Anthony Knight
Supervisory Patent Examiner
Group 3600

Enoch E Peavey
Art Unit 3626

January 18, 2002